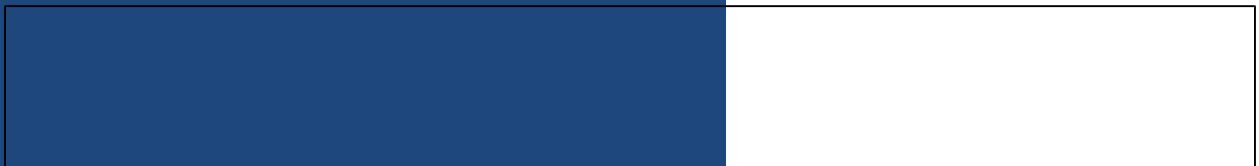


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Three Approaches to the Ethical Status of Animals

Introduction

In recent years, the topic of the ethical status of non-human animals has become a legitimate focus of philosophical inquiry. Attempts to justify the widespread practice of giving less moral consideration to the vital interests of animals (the most prominent one being the interest in avoiding suffering) have been advanced from several different ethical perspectives. This lecture will explore three of the most common perspectives: utilitarianism, natural rights theory, and social contract theory, and explain why none of them is likely to justify activities such as factory farming and (at least most) animal experimentation. Despite the existence of a vigorous and vocal animal rights movement, the majority position is that the moral status of non-human animals is vastly inferior to that of human beings. While some people may be somewhat disturbed at learning the details of factory farming methods and many medical and psychological

monly called the argument from marginal cases. I will
both that social contract theory fails to give such an

out of malevolent curiosity. Our common moral sensibility is appalled by such behavior. Utilitarianism provides a clarification of what is wrong with the abuser's behavior. The and cats are made to suffer for no sufficient reason. In respect, the utilitarian answer accords with ordinary intuition. But the utilitarian approach also calls into question most commonly accepted animal agriculture and experimentation. The short lives of many millions of chickens, pigs, cows, and other animals raised for human consumption, are filled with suffering. Experimental subjects, such as rats, mice, rabbits, and guinea pigs, are also made to suffer in the process of medical and psychological research. Perhaps we could deny the moral significance of this treatment of animals by denying that they feel pain. It is often claimed that this was Descartes' position, though the truth, as I will explain shortly, is more complicated. Whatever Descartes and his contemporaries may have thought, however, it is hard to find anyone today who seriously claims that animals don't feel pain. The evidence that they do, both physiological and behavioristic, is simply overwhelming. It seems, then, that in order to justify the widespread infliction of animal suffering, a utilitarian will have to offer a pretty hefty outweighing benefit. What are the prospects for such an argument to succeed?

Perhaps a utilitarian defender of the status quo will argue that she needs to argue for a large benefit to outweigh animal suffering. Perhaps she will say that I was mistaken to claim that animal suffering is intrinsically bad. It is only human suffering that is intrinsically bad, she might say. Or perhaps she will argue that animal suffering is, indeed, bad, but not nearly as bad as human suffering. What reason could she supply for such a differential concern for animal suffering? Perhaps she will claim that animal suffering is of lesser (or no) moral significance because animals themselves are of lesser (or no) moral significance. They have less intrinsic value than humans, or maybe none at all. While this line of reasoning is fairly common in discussions of the ethical status of animals, it is not one that a utilitarian can appeal to. Utilitarians hold that certain

states have intrinsic value and disvalue, not types of
Talk of an individual creature's intrinsic value
stood in terms of the intrinsic value of the life of the
which in turn amounts to the intrinsic value of the states
ally the mental states) that comprise the life.
oretical primacy of judgements about the intrinsic value
mental states of individuals, claims about the intrinsic value
the individuals themselves cannot be used to justify claims
about the intrinsic value of the individuals' mental states.
may well be that the typical human life is of greater intrinsic
value than the typical bovine life, but this will be because
human life is comprised of a greater and richer variety of ex-
periences, emotions, hopes, aspirations, and the like. The
ings, however, of a cow, considered in and of themselves, are
of no lesser (or greater) moral significance than the lif-
ings of a human being.

There is one other line of reasoning open to a utilitarian
deny moral significance to animal suffering. Consider the fol-
lowing partial characterization of what Derek Parfit calls
reference hedonism

In the use of pain which has rational and moral
significance, all pains are when experienced
unwanted, and a pain is worse or greater the
more it is unwanted.

Some might even claim that it is part of the very concept
pain that it is unwanted. Even if we deny this, it seems
possible to say that a pain is only bad to the extent that it
is unwanted. If someone really doesn't care about a pain, in and
it is hard to see how the pain could be intrinsically bad.
could, of course, be associated with something that is
mentally bad, such as bodily damage.) I am told that certain
drugs leave pain qualitatively unchanged, but remove the
subject If Tes Tm i ec Tfio ere

ty. owever, it is worth pointing out that, even if we
example of the desire for a utenberg^h ible depending o
belief, it may well be that other, perhaps more basic,

the ethically significant ones, such as the desire that pain cease, do not seem to do so. Even if we define desires in a way that no nonlinguistic creature has them, there is some mental state of the suffering dog that is important and similar to a human's desire that the pain cease.

So much for any utilitarian attempt to dismiss the intrinsic ethical significance of animal suffering. Isn't it nonsensical that the suffering involved in factory farming and experimentation is outweighed by the benefits thereby produced? Notice that a utilitarian demands of a policy or institution not that it result in a greater amount of happiness than unhappiness, but that it result in a balance of happiness greater than available alternatives (ignoring the possibilities). This detail is important, though sometimes ignored in discussions of the justifiability of factory farming and experimentation. Let me illustrate the difference, with reference to a common criticism of utilitarianism. Some critics charge that utilitarianism is defective on the grounds that it could be used to justify the institution of slavery. Imagine, for example, a society with a small number of slaves and a large number of free citizens. Perhaps the slaves are exceedingly unhappy. Perhaps, indeed, the unhappiness of each slave is many times greater than the happiness of each free citizen. But if there are enough free citizens, their happiness will outweigh the unhappiness of the slaves. But this is still not enough for the system to be justified on utilitarian grounds. Perhaps the free citizens could have been just as happy, or even happier, in a society without slaves. In which case, assuming that the slaves would have been happier not being slaves, there would have been a bigger balance of happiness over unhappiness in the free society. (The point of this example is not to show that utilitarianism could justify some system of slavery, but to point out that the possibility of such a system justified on utilitarian grounds is even more remote than it initially appears.)

a particular practice that inflicts significant suffering, it is not enough to argue that the benefits of (the practice, probably to humans) are greater than the suffering of the animals. What needs to be argued is that nothing like as much benefit could be achieved without significant animal suffering.

Consider first the system of factory farming. What are the benefits to humans from such a system? Any will claim that the chief benefit is a plentiful supply of cheap meat and other animal products. Given the health risks of consuming large amounts of animal products, however, it is doubtful whether this is a benefit at all. If meat and other animal products were in shorter supply and therefore considerably more expensive, many people would in fact live healthier lives. Let us suppose, however, what is almost certainly true, that many people's lives would be, on balance, worse without the availability of cheap factory farmed meat and other animal products. Nonetheless, would the

though, to conclude that huge numbers of animal experiments provide little or no benefit, and could never be reasonably expected to do so. Any drugs are tested on animals in order to compete on a market already glutted with drugs that do the same job. Much psychological research only confirms what commonsense tells us, and serves only to advance the career of the researcher. Even many of those experiments that do, arguably, give results that have beneficial applications may not be justified on utilitarian grounds if only a lesser benefit could have been achieved without suffering. Nonetheless, the difference in benefit may well be smaller than the suffering in question.

It is sometimes objected that we cannot apply a utilitarian approach to the justification of individual experiments because we simply never know when we might make a significant breakthrough. If we had to justify each experiment in advance we wouldn't justify any, and would thereby miss out on those that do lead to great benefits. If the utilitarian approach had been used in the past, it is claimed, we would have missed out on many of the beneficial advances in medicine. This line of reasoning, though, either fails in its own terms or begs the question against the utilitarian approach. Either the benefits from the use of animals in research really do outweigh the animal suffering or they don't. If they do, an expected utility calculation will give the result that at least some experiments are justified. If they don't, the fact that we would miss out on the benefits if we abandoned animal research is not sufficient, morally, to justify such research. But perhaps some of research will claim that we simply never know when experiments will result in benefit, even though, on balance, the benefits outweigh the harms. So we can never justify an experiment in advance, on utilitarian grounds, even though we have good reasons to believe that the practice of animal experimentation as a whole can be so justified. This response is too pessimistic a view of our powers of prediction. We don't select lines of enquiry at random, simply hoping to be lucky. There is plenty of evidence on which to base decisions.

It is surely reasonable that, in order to justify the infliction of suffering on animals, there ~~is no reason~~ ^{is some reason} to expect a significant benefit. In the absence of such a benefit we cannot simply resort to the claim that the unexpected sometimes happens. Despite these considerations, there will be some animal experiments that are justified on utilitarian grounds, but it is likely to be a small fraction of those actually performed.

To summarize the conclusions of the present section, it seems clear that a utilitarian approach to morality will justify such widely accepted practices as factory farming and animal experimentation. Whatever benefit, if any, comes from such practices is simply not enough to justify the amount of suffering involved.

4. Natural Rights Theory

In this section I will discuss an approach to the ethical status of animals that, for the sake of convenience, I refer to as natural rights theory. This approach focuses on identifying certain natural features or properties of individuals of a species as the basic grounds for the attribution of differing ethical status. So, for example, rationality has often been claimed as grounds for the superior ethical status of human beings over animals. For the purposes of this discussion, to claim that humans have a superior ethical status to animals is to claim that it is morally right to give the interests of humans more weight than those of animals in deciding how to behave. Such claims will often be couched in terms of rights, such as the rights to life, liberty or respect, but nothing turns on this terminological matter. One may claim that it is generally wrong to kill humans, but not animals, because humans are rational and animals are not. Or one may claim that the suffering of animals counts less than the suffering of humans (if at all), because humans are rational, and animals are not. These claims proceed through the intermediate claim that the rights of humans are more extensive and stronger than those (if any) of animals. Alternatively, one may directly ground the judgment

about the moral status of certain types of behavior in
about the alleged natural properties of the individuals
uch of the debate over the moral status of abortion pr
along these lines. any opponents of abortion appeal to
tures that fetuses have in common with adult humans, in
to argue that it is, at least usually, just as s
to kill them as it is to kill us. for example, ohn oc
that it is the possession of a full human genetic code

selected as justifying the attribution of superior moral status to humans will either be lacking in some humans or present in some animals. To take one of the most commonly suggested features, many humans are incapable of engaging in moral reflection. In some cases, this incapacity is temporary, as in the case with infants or the temporarily cognitively disabled persons who once had the capacity but have permanently lost it, as is the case with the severely senile or the irreversibly comatose. Still others never had and never will have the capacity, as is the case with the severely mentally disabled. To base our claims for the moral superiority of humans over animals on the attribution of such capacities, wouldn't we have to exclude many humans? Wouldn't we then be forced to conclude that there is at least as much moral reason to use cognitively deficient humans in experiments and for food as to use animals? Perhaps we could exclude the only temporarily disabled humans on the grounds of potentiality, though that move has its own problems. Nonetheless, the other two categories would be vulnerable to this objection.

I will consider two lines of response to the argument about marginal cases. The first denies that we have to attribute different moral status to marginal humans, but maintains that we are, nonetheless, justified in attributing different moral status to animals who are just as cognitively sophisticated as marginal humans, if not more so. The second admits that, strictly speaking, marginal humans are morally inferior to other humans.

ability, to perform the full moral functions natural to human beings are certainly not for that reason ejected from the moral community. The issue is one of kind. . . . What humans retain when disabled, animals have never had.

Alan White argues that animals don't have rights, on grounds that they cannot intelligibly be spoken of in the language of a right. By this he means that they cannot, for example, claim, demand, assert, insist on, secure, waive, or order a right. This is what he has to say in response to argument from marginal cases

or does this, as some contend, exclude infants, children, the feeble-minded, the comatose, the dead, or generations yet unborn. Any of these may be for various reasons empirically unable to fulfill the full role of right-holder. But . . . logically possible subjects of rights to whom the full language of rights can significantly, however falsely, be used. It is a misfortune, not a tautology, that these persons cannot exercise or enjoy, claim, or waive, their rights or do their duty or fulfil their obligations.

David Schmidt defends the appeal to typical characteristics of species, such as mice, chimpanzees, and humans, in moral decisions on the use of different species in experimentation. He also considers the argument from marginal cases

Of course, some chimpanzees lack the characteristic features in virtue of which chimpanzees command respect as a species, just as some humans lack the characteristic features in virtue of which humans command respect as a species. It is equally obvious that some chimpanzees have cognitive capacities (for example) that are superior to the cognitive capacities of some humans. But whether every human being is superior to every chimpanzee is beside the point. The point is that we can, we do, and we should make decisions on the basis of our recognition that mice, chimpanzees, and humans are relevantly different types. We can have it both ways after all. So a speciesist could argue.

There is something deeply troublesome about the line argument that runs through all three of these responses

argument from marginal cases. A particular feature, or features, is claimed to have so much moral significance that its presence or lack thereof make the difference to whether a piece of behavior is morally justified or morally outrageous. Then it is claimed that the presence or lack of the feature in a particular case is not important. The relevant question is whether the presence or lack of the feature in such an argument would seem perfectly preposterous in most other cases. Suppose, for example, that ten people are on trial in the afterlife for crimes against humanity. On the balance of the conclusive evidence, five are found guilty and five are found innocent. Four of the guilty are sentenced to an eternity of torment, and one is granted an eternity of bliss. Four of the innocent are granted an eternity of bliss, and one is sentenced to an eternity of torment. The one innocent who is sentenced to torment asks why he, and not the fifth guilty person, goes to hell. Saint Peter replies, Isn't it obvious, Richard? They are male. The other four men Adolph Hitler, Joseph Stalin, Richard Nixon, and Milton Friedman are all guilty. Therefore, the normal condition for a male defendant in this trial is guilt. The fact that you happen to be innocent is irrelevant. For four of the five female defendants in this trial, only one was found innocent. Therefore, the normal condition for female defendants in this trial is innocence. That is why Margaret Thatcher gets heaven instead of you.

As I said, such an argument is preposterous. Is the argument from marginal cases any better? Perhaps it could be claimed that a biological category such as a species is more natural, whatever that means, than a category like a male (or female) defendant in this trial. Even so, the considerable worries about the conventionality of biological categories, it is not at all clear why this distinction is morally relevant. What if it turned out that there were morally relevant differences in the mental abilities of men and women? Suppose that men were, on average, more skilled at manipulating numbers than women, and that women were, on average, more empathetic than men. Would such differences

what was normal for men and women justify us in preferring an innumerate man to a female math genius for an accountant, or an insensitive woman to an ultrasympathetic man for a job as a counselor. I take it that the distinction between male and female is just as real as the one between human and chimpanzee.

A second response to the argument from marginal cases is to concede that cognitively deficient humans really have an inferior moral status to normal humans. Can we, then, treat such humans as we do animals? I know of ~~no one~~ ^{who takes} the further step of advocating the use of marginal cases for experimentation or food. How can we advocate this response while blocking the further step? Warren suggests that there are powerful practical and emotional reasons for protecting non-rational human beings, reasons which are absent in the case of most non-human animals. Here is Steinbock's similar vein:

I doubt that anyone will be able to come up with a concrete and morally relevant difference that would justify, say, using a chimpanzee in an experiment rather than a human being with less capacity for reasoning, moral responsibility, etc. Should we then experiment on the severely retarded? Utilitarian considerations aside, we feel a special obligation to care for the handicapped members of our own species, who cannot survive in this world without such care. . . . In addition, when we consider the severely retarded, we think, That could be me. It makes sense to think that one might have been born retarded, but not to think that one might have been born a monkey. . . . Here we are getting away from such things as morally relevant differences and are talking about something much more difficult to articulate, namely, the role of feeling and sentiment in moral thinking.

This line of response clearly won't satisfy those who think that marginal humans really do deserve equal moral consideration with other humans. It is also a very shaky basis to justify our current practices. What outrages human

bilities is a very fragile thing. Human history is littered with examples of widespread acceptance of the systematic treatment of some groups who didn't generate any sympathetic response from others. That we do feel a kind of sympathy for retarded humans that we don't feel for dogs is, if true, a contingent matter.

Perhaps we could claim that the practice of giving greater weight to the interests of all humans than of animals is justified on evolutionary grounds. Perhaps such differential treatment has survival value for the species. Something like this might be true, but it is hard to see the moral relevance. We can only justify the privileging of human interests over animal interests on the grounds that such privileging serves human interests.

Although the argument from marginal cases certainly poses a formidable challenge to any proposed criterion of full standing that excludes animals, it doesn't, in my view, constitute the most serious flaw in such attempts to justify *tus quo*. The proposed criteria are all variations on the Aristotelian criterion of rationality. But what is the relevance of rationality? Why should we think that the possession of a certain level or kind of rationality renders the preferences and interests of greater moral significance than those of a sentient being? In Bentham's famous words, "The question is not, *can they reason* nor *can they talk* but, *can they suffer*."

What do defenders of the alleged superiority of human interests say in response to Bentham's challenge? Some, such as Karl Cohen, simply reiterate the differences between humans and animals that they claim to carry moral significance: humans are not members of moral communities; they don't engage in moral reflection; they can't be moved by moral reasons; therefore, their interests don't count as much as ours. Philosophers such as Steinbock and Warren, attempt to go further. See Warren on the subject.

cooperation. If we view the essence of morality as reciprocal, the significance of rationality is obvious. A certain all-too-common, interpretation of the olden rule is that we should do unto others in order to get them to do unto us. There is no point, according to this approach, in giving any, consideration to the interests of animals, because they are simply incapable of giving like consideration to our interests. In discussing the morality of eating meat, I have, many times, heard students claim that we are justified in eating meat, because if the animals would eat us, if given half a chance. (That they say this in regard to our practice of eating cows and chickens is depressing testimony to their knowledge of the animals they gobble up with such gusto.) It is not as though there is a consistent view being expressed here that concerns self-interest, as opposed to morality. Whether it serves my interests to give the same weight to the interests of animals as to those of humans is an interesting question, but it is not the same question as whether to give animals interests equal weight. The same point, of course, applies to the question of whether to give equal weight to my interests or those of my family, race, sex, religion, etc., as to those of other people.

Perhaps it will be objected that I am being unfair to

to the interests of animals.

It seems that any attempt to justify the status quo with respect to our treatment of animals by appealing to a morally relevant difference between humans and animals will fail on at least two counts. It will fail to give an adequate answer to the argument from marginal cases, and, more importantly, it will fail to make the case that such a morally relevant difference is morally relevant to the status of animals as moral patients as opposed to their status as moral agents.

3. Social Contract Theory

For the would-be defender of the status quo, the most promising ethical approach is social contract theory, or contractualism. Even its classical expression in Hobbes's *Leviathan* and Rousseau's *The Social Contract* (1762) and Rawls's *A Theory of Justice* (1971) views morality as in some sense a human construct. If human beings were to live without rules, in what Hobbes and Rousseau refer to as the state of nature, life would be, in Hobbes's memorable phrase, solitary, poor, nasty, brutish, and short. It would then be in everyone's interests to agree to abide by certain rules, such as a rule against killing others, on condition that others agree. The content of the agreement, or contract, provides the rules of morality. It is no part of the theory that there is such an agreement. The contract itself is an enlightenment, useful to discover the requirements of morality. In the same way, a utilitarian can appeal to the fiction of an informed, impartial, and benevolent observer to explain

tractualism accord full direct moral status to all human beings, including the severely cognitively impaired, and deny direct moral status to all animals. He further claims that such an approach can explain the wrongness of many instances of cruelty to animals, without accepting that factory farming or animal experimentation is wrong, or that the animals who are the victims of wrongful cruelty have direct moral significance. Parfit bases his discussion on two influential contemporary versions of contractualism: the theories of John Rawls and Thomas Scanlon. Here are Parfit's summaries of the main points of the two theories:

The basic idea, then, is that we are to think of morality as the rules that would be selected by rational agents choosing from behind what Rawls calls a veil of ignorance. While these agents may be supposed to have knowledge of all general truths of psychology, sociology, economics, and so on, they are to be ignorant of their own particular qualities (their intelligence, physical strength, qualities of character, projects and desires), as well as the position they will occupy in the society that results from their choice of rules. . . . The point of the restriction is to eliminate bias and special pleading in the selection of moral principles. . . . Hence his proposal is, in fact, that moral rules are those that we should rationally agree to if we were choosing from a position of complete fairness. . . . Most importantly, the agents behind the veil of ignorance must not be supposed to have, as yet, any moral beliefs. A part of the point of the theory is to explain how moral beliefs can arise. Scanlon's account of morality is roughly this: moral rules are those that no one could reasonably reject as a basis for free, unforced, general agreement amongst people who share the aim of reaching such an agreement. . . . Here the agents concerned are supposed to be real ones, with knowledge of their own idiosyncratic desires and interests, and of their position within the current structure of the society. Under these restrictions,

agreement . . . the contractors will know that there is no point in rejecting a proposed rule on grounds special to themselves, since others would then have equal reason to reject any proposed rule.

So, how do animals fare on these approaches? It is fair to say that they won't be assigned more than indirect moral significance. Since the contractors, on both models, are rational agents motivated by self-interest, only rational agents are assigned direct rights. The reasoning that leads to this conclusion is slightly different on the two approaches, so consider Rawl's treatment of each in turn. First, as Rawl says:

Since it is rational agents who are to choose the

eral, or rules that allow my privacy to be invaded, or subjects to be interfered with, at the whim of other people. A basic principle that we should agree upon is one of respecting the autonomy of rational agents. Of course, if one of my projects is to safeguard the interests of animals, a rule that asks others to disregard those interests in order for my project to be interfered with. It seems that respect for autonomy will incorporate a very strong moral asymmetry between what is done and what is allowed to happen. Let's assume, for the sake of argument, that such an asymmetry is justified. There are two serious objections that arise from within Rawls's contractarian approach.

First, there is the problem of marginal cases again. For the same reasons that animals don't get assigned moral standing in the contractalist framework, non-rational humans don't seem to count either. Rawls's response is to suggest that the contractors would use to justify rules would accord full moral standing to marginal humans. First, let's consider the following slippery slope argument:

There are no sharp boundaries between a baby and an adult, between a not-very-intelligent adult and a severe mental defective, or between a normal old person and someone who is severely senile. The argument is then that the attempt to accord direct moral rights only to rational agents would be inherently dangerous and open to abuse.

It is because starting out with a rule that distinguishes between rational and non-rational humans might lead to the mistreatment of rational humans, that the rule has to include all humans. Excluding animals, on the other hand, wouldn't have the same dangerous consequences. Anyone who argued from the accepted denial of moral standing to chimpanzees to the conclusion that some humans shouldn't have moral standing either would not be taken seriously. Rawls's second argument has a similar reliance on biological claims. It is simply a fact about human beings, says, that they care deeply for their offspring, irres-

Unfortunately, neither argument has the requisite psychological force.

Contractarianism fails, then, to give a convincing account of the argument from marginal cases. It also fails to account for what Parfit calls our common-sense attitudes toward animals. It seems to deny direct moral status to animals and the prevailing view may be that animals' interests are not insignificant as those of humans, but it is not that they count for nothing. According to this view, the cat torturer may not be doing something as bad as the child torturer, but his behavior is nonetheless morally abominable. Furthermore, it is wrong to do to the cat itself that is morally objectionable. A contractarian approach might suggest rules against cruelty to animals, on the grounds of protecting the interests of animal owners and lovers. But this doesn't capture the central wrongness of torturing a cat. It would still be wrong, even if it were never done and no one else found out about it. Parfit's response

ordinary view that the cat torturer's behavior is morally inacceptable is in no way contingent on the belief that the torturer is also likely to mistreat people. If you were to discover that Teresa routinely tortured cats for fun, you wouldn't think, "Well, what do you know? I guess torturing cats for fun isn't always wrong." You might think, "What do you know? I guess Teresa was actually a danger to people. What luck that she died before she got around to torturing any." You would probably be dismayed to learn that someone who had so much compassion for people could be so callous towards animals. The reason for your dismay, though, would be your belief that such callousness towards animals is wrong in itself.

The problem with the contractarian approach, at least as presented by Rawls, is that the specification of the

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Mary Kay Center for Ethics and Public Responsibility
Southern Methodist University
P.O. Box 7500
Dallas, Texas 75275
>>
www.smu.edu/ethicscenter