

Current CV- February 2013:

CURRICULUM VITAE
JOSEPH JUDE NORTON

Summary Statement: Professor Joseph J. Norton is considered a leading expert in domestic and international banking and financial law, in domestic and international business law, and in international economic development law matters. From 1993-2005, he served as the Sir John Lubbock Professorship in Banking Law at the University of London; from 1988-1993 he was the Cameron Distinguished Visiting Professorial Fellow in International Banking Law at London. While at London, Professor Norton, through the postgraduate Centre for Commercial Law Studies, headed up the International Financial Law Unit at the Centre, oversaw London's LLM and PhD programs in international banking and finance and was responsible for the intercollegiate LLM courses on Legal Aspects of International Finance, Banking Law and Regulation, Emerging Market Law: Finance and Development and International Economic Law. This University of London's postgraduate Centre is an RAE 5* rated (highest UK academic institution). Currently, he is the James L Walsh Distinguished Faculty Fellow and Professor of Financial Law, Dedman School of Law at SMU, Dallas, Texas), where he has been a full-Professor since 1981 and an Adjunct Professor from 1973, and where he regularly teaches courses on international banking and finance, international business transaction, business enterprise, international economic development law and global financial markets, and periodically teaches commercial law courses such as negotiable instruments. In the Spring 2005, he served as the Nomura Distinguished Professor of Financial Systems at the Harvard Law School, teaching international financial law and regulation; and in 1999-2001, he held the Vice Chancellor's Distinguished University Professor of Law at the University of Hong Kong, where he helped established the Asian Institute of International Financial Law (of which he remains Chair of the Academic Advisory Board) and where he taught international financial law and regulation and international business law subjects. In 2005, he was appointed a University Visiting Professor at the Universities of Peking and the Shanghai University of Financial and Economics. He is a Visiting Professorial Fellow at the Centre for Commercial Law Studies, London. He also has served as a Visiting Professorial Fellow at the Mandela Institute (Wits University, Johannesburg), Professorial Fellow in Financial Law and Institutions at the Institute of Advanced Legal Studies (London); and, he has held a University Chaired Visiting Professorship at the Banking Center at the Faculty of Law, Johannesburg University (RAU). He

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Dallas

Visiting Professor, Institute of Int'l Business Law, University of Muenster, Germany (1991-99).

Co-Founder and Chair of Advisory Board, Asian Institute of International Finance (University of Hong Kong) (1998-

(1999-2004)

World Bank/BoT Independent Expert Consultant on Evaluating New Thai Banking Laws (300 page study/report) (1999-2000).

Academic Consultant to International Association of Deposit Insurers (2003-2006)

Bank of Estonia, Law Reform Projects No. 205 and 209 (2000).

Educational Cooperative Projects with HKMA & HKSFC (Hong Kong) (2000- 2005).

IMF/MAE Legal Consultant on Indonesian Financial Sector Reform (1999).

USAID Consultant on Southern African Development Community Project's Finance and Investment Protocol (1998).

Director, "Special Long-Term Research Project on Assisting IOSCO Secretariat vis-à-vis Emerging Market Committee Working Groups No. 2 and 3 (CCLS/IOSCO) (1994-98).

Consultant, Ministry of Finance, Mauritius, on New Banking Legislation (1998) and on Money Laundering Position with FATF (2001).

common law and statutory purposes).

Concerning his international business expertise, Professor Norton is knowledgeable (private law, regulatory, conflicts of law, and int'l/regional/bilateral treaty aspects) on international trade (including trade financing), licensing, franchising, direct investment vehicles, and the dispute resolutions alternatives. He also has particular knowledge respecting the multinational enterprise and related governance and compliance issues. In terms of particular, geographic expertise, he is knowledgeable respecting the United Kingdom and the European Union, the NAFTA/FTA region, and Hong Kong/ PRC, and to a lesser extent as to South Africa and India.

He was an attorney and partner/ director (1972-1981) (consultant 1981-86) with the law firm of Locke Purnell Rain Harrell (A Professional Corporation), Dallas, Texas (now Locke Lord

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European Community law.

A.B. (Political Science/Pre-law), Providence College, Providence, Rhode Island.

VII. PUBLICATIONS

A. Books Published (over 55 volumes)

1. Authored

Financial Sector Reform in Emerging Markets (BIICL 2000/2001).

Devising International Bank Supervisory Standards (Martinus Nijhoff, 1995).

Banking Law Manual (co-author) (second edition, 1995 Matthew Bender) (20 chapters, approx. 1200 pages, (original edition 1983, as annually supplemented).

Regulation of Business Enterprise in the U.S.A. (2 vols., 18 chs., approx 1200 pages, 1983-84, Oceana).

2. Edited and Contributed

Law, Culture and Economic Development: A Liber Amicorum for Professor Roberto MacLean (BIICL 2007) (co-editor, contributor of one chapter), 18 chapters, 342 pages.

Universalism v. Multilateralism: Policy Choices in a Global Society (co-editor with J Attanasio, (British Institute of International and Comparative Law, 2005), 19 chapters, 524 pages.

Festschrift for Sir Joseph Gold (co-editor and contributor), 19 chapters (Verlag 2002).

Banks Fraud and Crime (2nd ed., co-editor and contributor) (2001).

A New International Financial Architecture: A Viable Approach (co-editor and contributor), 18 chapters (BIICL 2001).

Reform of Latin American Banking Systems: National and International Perspectives (co-editor, Kluwer 2000) (12 chapters) (contributor of 2 chapters).

Risk and Regulation in Chinese Financial Markets, (co-editor, Kluwer 1999, and contributor of one chapter).

The Changing World of International Law in the Twenty-First Century: A Tribute to the Late Kenneth R. Simmonds (1998) (co-editor and contributor of 1 of 13 chapters).

1996).

Strategies and Litigation Considerations in Chapter 11 Bankruptcy (vol 2 of Matthew Bender Collier Family Bankruptcy Series on "Representing Debtors in Bankruptcy," 1988, 21 chapters, approximately 700 pages, co-editor and contributor of ch. 18.)

Responsibility and Meaningful Financial Sector Law Reform for Developing Countries”,
European Business Law Review (No. 1 2009)(43 pages).

“A suggested First Step for Moving Toward a ‘Next Generation’ of Viable and Effective Long-term Financial Sector Legal Reform: Taking Stock of the ‘First Generation’ of Reform,”
Monograph in World Bank (LVP) Series on Legal and Judicial Reform (2007), 68 pages.

“Banking Law Reform and Users-Consumers in Developing Economies: The Critical, Foundational Issue- Creating a Viable (i.e., Accessible and Equitable) Consumer Base from the “Excluded”, 42 Tex Int’l L. Journal vol. 3, 2-27 (2007)

“An Interim Filling the Gap in Multilateral, Regional and Domestic Hard Law Deficiencies Respecting Financial Services in the Americas, 12 LBRA 153-177 (2006)

Rev. (Malta) 1-32 (2001) (co-author).

“Financial Institutions and the Movement toward Greater Accountability and Transparency”, 35 Int’l Lawyer Vol. 4 (2001), 38 pages. (author).

“The ‘Flipside’ to the ‘New International Financial Architecture’: An Elite Corp of Banking Organizations and ‘Qualified Self-Regulation,’” Journal of Int’l Fin. Reg. (Summer 2000).

Miracle' Possible?," 2 Global Econ. Rev No. 2, 3-36 (1998).

"Reflections on the Future of Banking Law in the New Hong Kong SAR@ (1996) Ybk Int'l Fin. L. 183-202 (1998).

"The Ongoing Process of International Bank Regulatory and Supervisory Convergence: A New Regulatory Market Partnership," 116 Ann. Rev. of Bank L. 67-151 (co-author) (1997).

"International Syndicated Lending: The Legal Context for Economic Development in Latin America," 2 NAFTA L. Rev. No. 3, 21-81 (1996).

"Globalization of Financial Risks and International Supervision of Banks and Securities Firms: Lessons from the Barings Debacle," 30 Int'l Law. 301-344 (1996) (co-author).

"External and Internal Crossroads for Banking Supervision in Southern Africa," published in Spring/Summer issue of 1995-2 J. of So. African L. 221-249.

"Being Competitive in a 'Reregulated' Banking Environment: The Case of Commercial Lending Activities of Banking Institutions," Spec. Banking Law Symposium, 11 Oklahoma City U.L. Rev. 547-640 (Fall 1986).

"The Efficacy of Export Trading Companies and Related U.S. Legislation and Regulations," 50 J. Air L. and Com. 865-905 (1985).

"The Acquisition Process and the Closely-Held Company: Selected Legal Aspects," 36 Mercer L. Rev. 567-626 (1985) (Special Symposium on closely-held enterprises).

"Adjustment and Protection of Shareholder Interests in the Closely-held Corporation" 30 Sw. L.J. 781-825 (1985).

"Lending Limitations and National Banks under the 1982 Banking Act," 101 Banking L.J. 122-154 (1984).

"The 1982 Banking Act and Deregulation Scheme," 38 Bus. Law. 1627-51 (1983).

"Perspectives on the United States Banking System," 12 Anglo-American L. Rev. 1-40 (1983).

"Extraterritorial Applicability of U.S. Antitrust and Securities Laws," 28 Int'l & Comp. L.Q. 575-97 (1979).

"European Court of Justice Decision in United Brands: Of Extraterritorial Jurisdiction and Dominant Position," 8 Denver Int'l L.J. 379-414 (1979).

"United States Securities Laws: A Transnational Perspective," 7 Anglo-Am. L. Rev. 81-112 (1978).

"Reflections Upon Economic and Monetary Union in the European Community," 11 Texas Int'l L.J. 251-80 (1976) [co-authored].

"Overview of European Community Law: A Primer for Businessmen and Attorneys," 29 Sw. L. J. 347-86 (1975); reprinted in Corporate Counsel's Annual 1976 991.

"Relationship of Shareholders to Corporate Creditors Upon Dissolution: Nature and Implications of the 'Trust Fund' Doctrine of Corporate Assets," 30 Bus. Law. 1061-79 (1975).

"The Treaty-Making Power of the European Economic Community - A Constitutional Crisis Facing the EEC," 7 Int'l Law. 589-611 (1973).

"The Common Commercial Policy of the EEC: Developments in the Final Stages," 6 Vand. J. Transn'l L. 44-85 (1972).

"Doing Business and U.S. Commercial Treaties: The Case with the Member States of the EEC." 5 Case W. Res. J. Int'l. Law 4-34 (1972).

"Reflections on the Act of State Doctrine: A Fifth Wheel in Conflicts of Laws," 10 Houston L. Rev. 1-24 (1972).

“A Further Contour to ‘Limited Multilateralism’: The Intervention of Private Sector Involvement to Global Financial Stability and the Control of Large Complex Banking Organizations,” Ch. 11 in Dean J Attanasio et. al. (co-editor), *Unilateralism v. Multilateralism* (published in 2005), pp. 231-254.

“An ‘Environmental’ Approach to FDI and Effective Dispute Resolution: The Exhortations of the Monterrey Consensus”, Ch. in Prof. N. Horn (ed.), *Arbitrating Foreign Investment Disputes* (Kluwer 2004), pp 89-110..

“Privatization in Modern Bank Regulation”, Ch in Dr. M Litoksky (ed.), *Privatization and the Law* (Martinus Nijhoff, 2004), pp 173-218.

“Western Hemispheric Integration: Prospects for the FTAA,” Ch. Prof. M Cremona et al (co

(pp 391-414) in Baum, Hopt & Horn eds.; *Corporations, Capital Markets and Business in the Law: Liber Americorum* for Richard M. Bauxbaum (Kluwer, 2000).

“U.S. Banking Regulation: Practice and Trends,” chapter in Mullineaux & Murinde, *International Banking and Finance* (2000) (co-author).

Ch. 8 ("Lat. Am. Experiences") and Ch. 11 ("Asian Contagion") in *Reform of Latin America Banking Systems*, supra.

"International Standards and Global Pension System Reform," Chapter in *Festschrift for Professor Dr. Otto Sandrock, Beyer et al.* (eds.) (2000).

"Internationalization of Public Financial Law in Hong Kong," (co-author) Ch. 9 in Wacks (ed), *The New Legal Order in Hong Kong* (2000).

"Reflections on the Development of Capital Markets, Stock Exchanges and Securities Regulation in Central and Eastern Europe," chapter (pp 191-251) in Drobnig et al, eds). *System Transformation in Mittel-und Osteuropa und ihre Folgen für Banken, Börsen und Kreditsicherkeeterd* (Max Planck-Hamburg, 1998)

"Receptivity of International and Domestic Capital Markets to State-owned-Enterprises (SOEs) of the Peoples Republic of China,@ chapter in *Festschrift for Prof. Bernhard Grossfeld* (ed. Ebke, Germany, 1998)

"Export Trading Companies," Chapter 19 (35 pages) in *World Trade and Trade Finance* (1986).

Commercial Banks and Their Attorneys" (co-author), 26 St. Bar of Texas Bus. Bull. No. 4, 1-16 (1989).

"Risk-Based Regulation of Banking Institutions and the New Capital Adequacy Standards," 25 Bull. Sect. Bus. L. No. 213, 1-18 (State Bar of Texas) (February 1989).

"The Law of Guaranties in Texas," 25 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas) (Fall 1988) [co-authored].

"Asset Securitization for Banking Institutions: Large and Small," 25 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas) August 1987) (co-authored).

"Environmental Due Diligence in Real Estate Lending Transactions and Convergences," 25 Bull. Sect. Corp., Banking & Bus. L. No. 3, 1-28 (Feb. 1988) (co-author).

"Loan Participation Arrangements," 24 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas) 1-20 (Feb. 1987) [co-authored].

"Exercising Setoff Rights in Texas: Legal Concerns and Pitfalls," 24 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas) 17-30 (August 1986).

"Reflections on Financial Institution Restructure in the United States and on the New U.S. Financial Institutions Act," *German J. of Comp. L. (Zvgl R. Wise)* 89 (Winter 1990).

"International Convergence of Bank Supervisory Practice: the New US Bank Capital Adequacy Standards," *4 J. Int'l Bank. L. Issue 5*, at 4 (1989).

"The Changing Face of the 'Old Lady of Threadneedle:' The Bank of England and Bank Supervision in the U.K." *Cons. Fin. L.Q.* 180-184 (Summer 1989).

"Reflections on the New Risk-Based Capital Guidelines for Banking Institutions," *Cons. Fin. L.Q. Rev.* 1-10 (Spring 1989).

"Safe and Sound under the U.S. Banking Act," *Int'l Fin. L. Rev.* 13-15 (Dec. 1987).

"Reflections on the Iranian Hostage Settlement," *67 A.B.A.J.* 428-33 (co-authored) (April 1981).

"Banking Law in the United States: Regulation or Reregulation?," *4 Soochow L. Rev.*, No. 2, at 43-86 (1984).

"International Aspects of U.S. Business Laws," *3 Soochow L.Rev.*, No. 2, (1982) (co-authored).

5. Book Updates/Supplements:

Banking Law Manual (annual supplements from 1984-96), 4-5 chs).

Lender Liability: Law & Litigation (semi-annual, supplements from 1990-96).

Commercial Loan Documentation Guide (annual supplements from 1990-96).

Commercial Finance Guide (annual, 4-5 chs.) (annual supplements from 1991-96).

C. Conference Papers Presented (Total 90)

“ Devising IBSSs: Coherency 3 ()nppppppppp 4 (C) -3nggrom 1991

“Reflections on the Evolvement of Transnational Networks in the Financial Sector Area: From The Basle Concordat to Bretton Wood II.” SMU International Conference on Transnational Networks, Nov. 2008

“A Suggested Predicate for Financial Sector Reform in China and India: A Development Component Geared to Inclusion, Equity and Poverty Alleviation” prepared for a launch colloquium on Sino-India relationship, “The Elephant and the Dragon”: Lessons and Challenges Respecting the Role of Law in Economic Development in India and China” ,National Law School of India University, Bangalore May 7 – 10, 2008.

“ A New Role for the IMF: SWFs and of Known Knowns, Known Unknowns and Unknown Unknowns?- One American’s Perspective,” Judge School of Business, Cambridge University Conference with IMF on “The International Monetary Fund and Financial Crises- The Role of Institutional and Governance Reform, “ April 6, 2008.

“Access and Equality in Financial Sector Reform: Are there Lessons to be learned from the U.S. Approach- A ‘de Sotoan’ Approach” (Fudan University, Shanghai, October 29-30, 2007).

“Corporate Social Responsibility and the Harmony of World Development: Lessons for China”, Beijing Forum- 2007 on “The Harmony of Civilizations and Prosperity for All- Diversity in the Development of Human Civilization ,1-5 November, 2007, Beijing, PRC (Great Hall and Univ. of Peking).

“Cross-Border Insolvencies – the European Union Perspective”, Dallas Bar Association, “CROSS-BORDER INSOLVENCIES IN A GLOBAL AGE” (Nov. 2007).

“Corporate Social Responsibility (CSR): A Global Perspective,” for the Annual SMU Corporate Counsel Symposium (Oct. 2007).

“A Consumer Protection Framework for Developing Viable Banking Systems in Emerging Economies” for the Annual Conference of the International Academy of Commercial and Consumer Lawyers, Aug. 14, 2006, Univ. of Texas, Austin Texas 0.20.2 (e)0016ng5.0.2 (s0. (e 0.230.29 0.2

Financial Services Authority at Externado University, Bogotá.

“ The Critical Regional Component to Ensuring Financial Stability,” Keynote remarks, IMF/WB Conf. on Financial Stability in Tunis, Tunisia , March 2004.

“ A Rule-Oriented Matrix Approach”, APEC Policy Dialogue on Financial Stability, Kuala Lumpur, Malaysia, February 2004.

"Cross-Border Banks M&A -- A U.S. Perspective," RIZ Conference on Cross-Border Mergers and Acquisitions (Cologne, April 2000).

"The FTAA From a U.S. Business Lawyer's Perspective," Conference on FTAA, Tower Center, Dallas, Texas, March 2000.

"Inaugural Lecture - Globalization, Financial Law and the Future of Hong Kong," Hong Kong University (Oct. 1999).

"A New International Financial Architecture?," The Oxford Executive Banking Forum (Oct. 1999) (Oxford, England).

"Dispute Resolution Alternatives in International Financial Transactions," Cologne Conference on International Financial Law (March 1999).

"Financial Sector Restructuring: The Law Based Dimensions" (W.G. Hart Workshop July 98) - Institute for Advanced Legal Studies (London)

"International Financial Sector Reform and Southern Africa," Professorial Inaugural lecture at Rand Afrikaans University, Johannesburg (April 1998).

"Latin America and the East Asian Financial Crises: Any Lessons?," 3rd Annual High Level Conference of Latin American and Caribbean Bank Supervisors, Madrid, May 1998, and revised version delivered at SMU/UBA 5th Annual International Conference, Dallas, October 1998

"Legal Education at the University of Peking in the 21st Century: The Expanding Curricular Importance of a 'New Banking Law'," presentation for 100th Anniversary of University of Peking (May 1, 1998)

"International Financial Crises: Implications for Financial Stability in China," presentation for 100th Anniversary of University of Peking (May 2-3, 1998)

"A Fundamental Threat/Challenge to the PRC Banking System: The Restructure of SOE=s," Hainan Banking Institute, PRC, April 1997

"Prospects for EMU@ - Dallas Consular Corps, April 1997.

"Environmental Liability for Lenders: U.S. and Comparative Observations," Univ. of Stockholm Law Faculty, June 1997.

"The Challenges of Multi-

"Fundamentals of U.S. Real Estate Financial Law: Perspectives for Mercosur Cross-Border Trade and Investment," 4th Annual SMU/U. London/UBA International Banking and Finance Law Conference, Buenos Aires, Sept. 1997.

"International Cooperative Efforts and Implications for Law Reform," U. of London/EBRD/ IMF Conference on Bank Failures and Bank Insolvency Law in Economics in Transition (London, Oct. 1997).

"Reform of Bank Regulatory and Supervisory Structures," 2nd High Level Conference of Latin American Banking Supervisors (SELA, Caracas, Nov. 1997)

"The Asian Financial Crises and Lessons for... Egypt," Egyptian Central Bank, Cairo, March 1998; "... for Southern Africa," Annual Banking Conference, Johannesburg, May 1998; and "... for PRC, @ 100th Anniversary Celebration, University of Beijing, May 1998.

"International Financial Law in Context" (Dallas Bar Annual Int'l Law Seminar, Jan. 1997).

"Selective Implications of the Mexican Peso Crises for Regional Economic and Trade Arrangements in the Americas," U. Buenos Aires, London/SMU Annual Conf. (Sept. 1996).

"Stock Exchange and Capital Market Regulation for Central and Eastern European Countries," Max-Planck Institute 70th Anniversary Conference (Hamburg, June 1996).

"Banks and Securities Activities: Barings and Beyond," Inaugural lecture for Association of Southern African Banking Lawyers (Johannesburg, April 1996).

"Hong Kong and the Development of Prudential Banking Standards," Hong Kong University Law Faculty, "25th Anniversary Conference" (Hong Kong, June 1995).

"A Level Playing Field for Borrowers and Lenders? - A Comparative Analyses of Lender Liability Developments under US & UK Law" - RAU Banking Law Institute - Annual 1995 Conference (April 1995, Johannesburg, S.A.).

"Fair Lending: An Untoward Governmental Intervention into Banking Regulation for a Social Agenda?" (Annual Banking Law 1995, Okla. City Univ. School of Law, Feb. 1995, Oklahoma City).

"Foreign Issues on U.S. Securities Markets: A Parochial International Approach?," (Cologne Institute of Banking, Nov. 1994, Germany).

"Fair Lending: Another Lesson from the U.S.?" Chartered Institute of Banking/CCLS Annual Banking Law Conference" (Oct. 1995, Selsden Park, London).

"International Banking Developments," SMU Annual Banking Law Conference, Sept. 1994.

"International Loan Syndications: A Transatlantic Perspective," Buenos Aires/London/SMU Conference on International Finance, Sept. 1994, Buenos Aires.

"Capital Market Reforms and Emerging Economies," at Max Planck Research Award Symposium at Konstanz, Germany, June 1994 (Univ. of Konstanz/Harvard Law School).

"Asset Securitization: The U.S. Phenomena," St. Gallen University Banking Law Conference

"The Top Ten Lender Liability Cases - 1989," Annual Banking Law Institute (University of Texas, January 1990).

"Bank Regulatory Aspects of Asset Securitization," Centre for Commercial Law Studies, London Conf., July 1989.

"Financial Institution Restructuring and Reform in U.S.A.," European Association of University Teachers in Banking and Finance, Dublin, Sept. 1989.

"Convergence of International Bank Supervisory Standards," Univ. of Konstanz, West Germany, July 1989.

"Collateral Liability Issues (Aiding and Abetting, Conspiracy, Respondeat Superior)," 1988 SMU Civil Rico Conference.

"The Role of the FDIC: Implications for the Bankruptcy Attorney," 1988 SMU Advanced Bankruptcy Conference.

"The Regulatory Scheme for International Operations of U.S. Banks," First Annual Short Course on Law of Banking Institutions, SMU - Dallas, Dec. 1, 1984.

"Recent Developments in International Trade Financing," Pre-Annual American Society of Int'l Law Meeting, April 1984.

"Shareholder Agreements," Symposium Proceedings - State Bar of Texas Advanced Corporation Course (April 1984).

"The European Community and International Law," SMU Conference on Public International Law and the Future World Order (March 1984).

VIII. RELATED ACADEMIC TEACHING AND RESEARCH ACTIVITIES

A. Courses Taught.

1. SMU since 1972: Business Enterprise, Banking Law, International Banking and Finance, International Business Law, NAFTA, European Community, Securities Regulation, Torts, Contracts, Property, Negotiable Instruments, Perspectives of American Legal Systems, Private International Law, International Economic Development Law. Teaching has been at both the first-law degree and postgraduate law levels.

2. London from 1988- 2005: Banking Law, Regulation of Financial Markets, Securities Regulation, Legal Aspects of International Finance, International Trade Law, International Economic Law, Emerging Markets. Teaching has been at postgraduate law level.

B. Special Course Development

1. SMU:

Established the following new additions to the Law School's curriculum: (a) International Economic Law and Development; (b) Globalization and the Law; (c) Global Financial Market Regulation; (d) "NAFTA"; (e) Perspectives of American Business Laws (for overseas students); (f) International Business Transactions: European Community (substantially restructured the old "Common Market" course); (g) International Banking and Finance; (h) Special Legal Writing and Tutorial for international students; (i) Special Extern Program for international students; (j) Banking Law; (k) Commercial Lending; (l) restructured course in Perspectives of American Laws; and (m) helped organize course in Perspectives of U.S. Taxation for Foreign Transactions in the United States

2. London:

Developed new LL.M courses in (a) U.K. and E.C. Bank Regulation; and (b) Emerging Markets. Restructured LL.M courses in (a) International Economic Law, (b) Legal Responsibilities of Banks (with a new "umbrella course heading), (c) Legal Aspects of International Banking and Finance, (d) Securities Regulations. Established a specialty LL.M in "Banking and Finance Law" and in "International Economic Development Law, Organized a Special Swedish Law Student Program (1999-present).

C. Special Research Projects

Co-Director, "Special Long-Term Research Project on the Private and Public Law Implications of a Single European Currency" (CCLS, London, 1995 -) (in conjunction with the London Financial Law Panel) (2 research seminars and two published volumes to date).

D. Editorships

Editor-in-Chief, The International Lawyer (ABA quarterly international law journal, 17,000 worldwide distribution), from August 1986 to August 1996 and 1999-2001; Senior Consulting

Editor, 1997-

Sometime Visiting Professor of International Business Law, Institute of International Business Law, Faculty of Law, University of Muenster, West Germany, 1987-1999 (teaching annually international and U.S. business-related subjects).

Visiting Professor, Center for Financial Law Studies, Peking University, Beijing, 2002-present.

Visiting University Professor of Law (Chair Status), Rand Afrikaans University, Johannesburg, South Africa, 1997-2005.

Visiting Professor, Mandela Institute, Wits University, Johannesburg, 2002-2005

Professorial Fellow in Financial Institution Law, British Institute of International and Comparative Law (London) (2003-present).

Visiting Professor of International Banking Law, San Diego International Law Summerschool, London (July 1998, 1999, 2000, 2001,2002 and 2004).

Faculty, International Development Law Institute (Rome, June 1996, and October 1998).

Lecturer at Duke Law School Summer Programs (Brussels, July 1993) on "European Banking Law" and in July 1994 on "International Aspects of U.S. Banking Law."

Visiting Lecturer, University of Buenos Aires, Graduate Program (Buenos Aires, Sept. 1995).

Visiting Lecturer in Law, Centre for Southern African Banking Law Studies, RAU, Johannesburg, S.A. (April & Aug. 1995).

Faculty Member,"Duke in Hong Kong Summer Law Programme" (1995).

Lecturer at SMU Law School's Summer Program, Oxford University (1989, 1991 & 1992).

Visiting Senior Research Fellow, Institute for European Finance (U.K.), 1989-present, and College Fellow, University College of North Wales, 1989-present.

Lecturer at, SMU Law School's Summer Program, University of Edinburgh (1988).

Visiting Professor, Soochow University - Taiwan (Spring Semester 1983), course on International Banking.

Visiting Lecturer, Jaio Tong University, Shanghai (Summer 1983).

Visiting Lecturer, East China Law School, Shanghai (Summer 1983).

Visiting Lecturer, Shanghai Institute of Economics & Finance (Summer 1983).

Made original proposal to Dorothy Lee (in 1980), resulting in \$1.5 million Richard Lee Trust for SMU Law School in 1993.

Negotiated and arranged with Sir Joseph Gold for the bequest of his invaluable collection on international monetary materials to the SMU Underwood Law Library.

Pursued, negotiated and consummated Chinese scholarship from Asia Foundation at SMU (1984-88).

Pursued, negotiated and consummated special Esso Exploration Scholarships for Chinese Students at SMU (1982-86).

Organized and made proposal for funding of two research fellowship positions at CCLS-London (1997-).

IX. CONFERENCES AND PUBLIC LECTURES

A. Conference Papers Presented (See VII C above)

B. Conferences Organized and Directed (Total 65 in 4 Countries)

1. University of London/London Institute

Comparative Dimensions of Corporate Governance June 2002 and 2004)

Sir Joseph Gold Memorial Conference (Dallas, March 2001; and June 2001
London)

English Banking Law (3 days)

. May 1996

. October 1996

Bank Fraud & Crime (3 days) (March 1997)

"Prospects for Mercosur" (Sept. 1996, Joint Conference, CCLS, London, UBA & SMU, Buenos Aires).

Special EMU Research Seminars (FLP, King's London, CCLS)

January 1996 (2 days) (Public Law & Institutional Aspects) (London).

October 1996 (2 days) (Private Law Aspects) (London).

EBRD/IMF Conference on Bank Solvencies in Emerging Economies (Oct. 1997).

EBRD Model Secured Transactions Law (Oct 1995, Joint Conference with EBRD, CCLS, King's College and SMU, London)

Asset Securitization and Developing Economies (Joint Conference, CCLS, London, UBA & SMU, Buenos Aires, Sept. 1995).

Summer School on International Banking and Finance (London);

- two week conference (July 1997)
- two week conference (July 1996)
- two week conference (July 1995)
- two week conference (July 1994)
- one week conference (July 1993)

Emerging Financial Markets and IFI's (May 1995, Joint Conference with EBRD, CCLS, Kings College and SMU, London).

Annual Banking Law Conference

- Chartered Institute of Bankers/CCLS, Creton, England, Nov. 1992, "Banks and Crimes" (co-organizer)
- CIB/CCLS Creton, England, Nov. 1993, "Electronic Banking: Risks and Opportunities."
- CIB/CCLS London England, Oct. 1994 "Banking Law and Marketing of Banking Products."

Asian Academy of International Banking (sponsored by CCLS and Soochow University, Taipei, R.O.C., June 1992) (co-organizer).

Prospects for International Banking and Finance in the 1990s (July 9-11, 1990) and Global Financial Institution Restructuring (July 12-13, 1990), Centre for Commercial Law Studies (London).

International Dimensions of Asset Securitization, Centre for Commercial Law Studies, Univ. of London, (July 1989).

Joint Conference (London, U. of Buenos Aires, SMU) on International Banking and Finance, Sept. 1994, Buenos Aires.

2. SMU

SMU/UBA 1st-4th Annual Conference in International Banking Law (Dallas/Buenos Aires).

SMU, The North American Free Trade Agreement (January 1994, Dallas) (thru TIL Student Board).

SMU, Institute on International Finance (an interdisciplinary conference).

- Fifth Institute, "International Tax Planning After the Tax Reform Act of 1986." (Jan. 1988)
- Fourth Institute, "Prospects for International Lending and Reschedulings," April 16-18, 1986.
- Third Institute, "World Trade and Trade Finance," November 15-16, 1984.

Dialogue (April 4-5, 1983).

X. COMMUNITY SERVICE

Commits a minimum of 100 professional hours per year to pro bono activities annually since 1973.

Organizer and Participant, East London Partnership (CCLS) (1994-1997).

Member of Board of Directors of Dallas Legal Services, 1974-81; 1984-1987; Chairperson and President 1976-1977. Member of Executive, Audit, Finance and Evaluation Committees.

Member of Board of Visitors of Southern Methodist University School of Law, 1973-80.

Former member of Zoning Ordinance Advisory Committee, City of Dallas.

Attorney for Channel 13 (Public Broadcasting Station in Dallas) on pro bono basis 1972-1975.

Draftsmen (pro bono), 1973 legislative program - Dallas County.

Co-established with Dallas Bar Association a pro bono scheme for major Dallas law firms in 1974 for representation of the poor.

Participant in Goals for Dallas Bar Association (1974).

XI. REFERENCES

1. Dr Paatii Ofusu-Amaagh, Former Vice president and Secretary, World Bank Group
World Bank
Washington, DC
2. Dr. Ernesto Aguirre
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World Bank
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3. Professor Alan R. Bromberg
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5. Professor Roy Goode

