# MARC I. STEINBERG

Dedman School of Law
Southern Methodist University
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Dallas, Texas 75205

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marcsteinberg@me.com

## PRESENT POSITION

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|---|---|
| April 2023: | Distinguished Guest Professor<br>Centre for Commercial Law Studies<br>Queen Mary<br>University of London |
| May 2022: | Visiting Scholar<br>Tel Aviv University |
| April 2022: | Visiting Scholar<br>Hebrew University |
| March 2020: | Visiting Scholar<br>University of Auckland |
| January 2020: | Visiting Professor<br>UCLA School of Law |
| February 2020: | Visiting Professor<br>University of Miami School of Law |
| November 2019: | Kelly Holdings Distinguished Visiting Fellow<br>Corporate and Financial Law<br>The University of Hong Kong |
| September 2019: | Visiting Scholar<br>Osgoode Hall Law School<br>York University<br>Toronto |
| September 2019: | Visiting Professor<br>Catholic University of Paris |
| 2006-2012: | Senior Associate Dean for Research<br>SMU Dedman School of Law |
| 1998-2003: | Senior Associate Dean for Academics<br>SMU Dedman School of Law |

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| 2003-2019: | Founding Director<br>SMU Corporate Directors Institute |
| March 2018: | Distinguished Visiting Scholar<br>University of New South Wales (Sydney) |
| March 2017: | Visiting Professor<br>Bocconi University (Italy) |
| November 2016: | Visiting Academic Fellow<br>Lauterpacht Centre of International Law<br>University of Cambridge |
| 2012-2013: | Visiting Professor<br>Bar Ilan University |
| 2012-2013: | Visiting Professor<br>Centre for Commercial Law Studies<br>Queen Mary<br>University of London |
| June 2010: | Visiting Professor<br>Bar Ilan University |
| June 2007: | Visiting Professor<br>University of Heidelberg |
| May-June 2005: | Visiting Professor<br>University of Gothenburg |
| October 2004: | Paul Hastings Visiting Professor in Corporate and Financial Law<br>The University of Hong Kong |
| 1994-2004: | Visiting Professorial Fellow (in International Securities Regulation)<br>Banking and Finance Law Unit<br>Centre for Commercial Law Studies<br>Queen Mary<br>University of London |

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| 1997-2000: | President<br>Institute of International Banking<br>and Finance<br>SMU Dedman School of Law |
| Feb.- March 1998: | Parsons Visiting Scholar, University<br>of Sydney; Visiting Professor, University of<br>Western Australia |
| 1989-1997: | Of Counsel<br>Winstead, Sechrest, & Minick, P.C.<br>Dallas, Texas |
| October 1997: | Visiting Professor, Meiji Gakuin<br>University, Tokyo |
| March 1996: | Visiting Scholar: University of<br>Buenos Aires, University of Belgrano |
| March 1995: | Visiting Scholar: University of Cape Town,<br>Rand Afrikaans University,<br>University of Stellenbosch,<br>University of Witwatersrand |
| June 1994: | Distinguished Lecturer<br>Centre of Commercial Law Studies<br>Queen Mary<br>University of London |
| March 1993: | Inaugural Visiting Charles H. Hartsock<br>Chair Professor of Law<br>College of Law<br>University of Cincinnati |

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|---|---|
| Feb.-June 1991: | Visiting Professor: University of Konstanz (Germany); Parsons Visiting Scholar: University of Sydney; Visiting Scholar: University of Canterbury, Victoria University of Wellington (New Zealand), University of Melbourne, Hong Kong Polytechnic School of Law; Lecturer: Ministry of Finance Course on International Taxation, Taipei Taiwan |
| 1985-1989: | Professor of Law<br>School of Law<br>University of Maryland |
| Fall 1988: | Visiting Radford Professor of Law<br>School of Law<br>Southern Methodist University |
| 1986-1989: | Of Counsel<br>Melnicove, Kaufman, Weiner,<br>Smouse & Garbis, P.A.<br>Baltimore, Maryland |
| Spring 1988: | Visiting Professor<br>The Wharton School<br>The University of Pennsylvania |
| November 1986: | Visiting Fellow: Universities of Stockholm, Gothenburg, Lund, and Uppsala |
| 1983-1985: | Associate Professor<br>School of Law<br>University of Maryland |
| 1983: | Adviser to former U.S. Supreme Court Justice Arthur J. Goldberg for the Federal Advisory Committee Report on Tender Offers |

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| 1982-1983: | Visiting Associate Professor<br>The National Law Center<br>George Washington University |
| 1979-1982: | Special Projects Counsel<br>(Confidential Legal Adviser<br>to the General Counsel)<br>Office of the General Counsel<br>Securities and Exchange Commission |
| 1978-1979: | Attorney<br>Division of Enforcement<br>Securities and Exchange Commission |
| Spring 1979: | Adjunct Professor<br>School of Law<br>Georgetown University |
| 1977-1978: | |

## SELECTED TEACHING EXPERIENCE

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|---|---|
| 1989 – Present: | Dedman School of Law<br>Southern Methodist University (SMU)<br>(Business Enterprises, Securities Regulation, Securities Litigation and Enforcement, Lawyering and Ethics for the Business Attorney, Director – Corporate Counsel Externship Program) |
| January 2020: | School of Law<br>University of California, Los Angeles<br>(Securities Litigation) |
| February 2020: | School of Law<br>University of Miami<br>(Lawyering and Ethics for the Business Attorney) |
| March 2017: | School of Law<br>Bocconi University (Italy)<br>(Financial Institutions and Markets Law) |
| March 2013: | School of Law<br>Bar Ilan University (Israel)<br>(Securities Law) |
| October 2012: | Centre for Commercial Law Studies<br>University of London<br>(Securities Law) |
| June 2010: | School of Law<br>Bar Ilan University (Israel)<br>(Securities Law) |

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|---|---|
| November 1986: | School of Law<br>Universities of Stockholm, Gothenburg, Lund, Uppsala, and Helsinki<br>(U.S., Swedish, and Finnish Stock Exchange Regulation) |
| 1982–1983: | The National Law Center<br>George Washington University<br>(Corporations, Securities Regulation, Regulation of the Securities Markets) |
| Spring 1979: | Georgetown University Law Center<br>(Professional Responsibility) |

## EDUCATION

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|---|---|
| 1976–1977: | LL.M., Yale University (Graduate Fellow; Full Tuition Scholarship) |
| 1972–1975: | J.D., University of California, Los Angeles (Board of Editors, UCLA-Alaska Law Review) |
| 1968–1972: | A.B., University of Michigan (Magna Cum Laude; Honors in History with High Distinction) |

## PROFESSIONAL AFFILIATIONS AND HONORS

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|---|---|
| Member: | The American Law Institute (1987–Present) (Life Member, 2011– Present) |
| | Executive Committee, American Association of Law Schools (AALS), Section of Business Associations (2022–Present) |
| | Executive Committee, American Association of Law Schools (AALS), Section of Securities Regulation (2022–Present) |

Working Group of the Enforcement Gallery, Securities and Exchange Commission Historical Society (2018–Present)

Executive Committee, American Association of Law Schools

Recipient: "Winner," "Best Law Book of 2021" for Rethinking Securities Law (Oxford University Press 2021), The 2021 Annual "Best Books" Awards, Sponsored by American Book Fest; Selected as "Exemplary Legal Writing 2021" in Green Bag Almanac & Reader (2022)

SMU Author's Award for International Securities Law: A Contemporary and Comparative Analysis (Kluwer Law International 1999) (Presented by Dedman College, Godbey Lecture Series April 2000)

Advisory Boards:

Academic Advisory Board:

The University of Hong Kong Faculty of Law, Asian Institute of International Financial Law (1999–Present)

Panel of Academic Contributors – Black's Law Dictionary (8th ed. 2004) (9th ed. 2009) (10th ed. 2014) (11th ed. 2019) (12th ed. 2024)

SMU Dedman School of Law, Rowling Center for Business Law, Faculty Advisory Board (2018–Present)

SEC Historical Society Museum Committee (2010)

Corporate Compliance Center, South Texas College of Law (2004–2010)

Board of Contributing Editors and Advisers:

The International Lawyer
(1991–1996, 1999– Present)
The Journal of Corporation Law
(1981– Present)
Law and Business Review of
the Americas (1995–2018)

SMU Law Review Corporate Counsel Annual Symposium (1993–2018)
Adviser, SMU Business Law Society (2001 – Present)
Adviser to the Mead Data Central/Lexis Federal Securities Law Library (1989–1995)
Adviser, Bloomberg L.P. (2000–2002)
Consultant, A Handbook of Business Law Terms (West 1999)

Executive Committee: Section of International Law, American Bar Association (2001 – Present)

Mediator: Completed Basic Mediation Training Course

Recipient: Outstanding Young Men of America (1979)

Bar Memberships: [illegible]

Presentation, U.S. Perspective on Prospectus Liability, Annual Conference on European Tort Law, Vienna (April 14, 2023)

Podcast, The Elon Musk-

Keynote Speaker, Australian Corporate Law Professors Conference, Brisbane (February 12, 1993)

German Marshall Fund Recipient, University of Konstanz School of Law (June 1991)

Faculty Seminars Presented at Law Schools of University of Sydney, University of Melbourne, Monash University, Murdock University, Hong Kong Polytechnic University (March-May 1991)

The Swedish Institute, Lecture and Consult Regarding American and Swedish Securities Regulation (University of Stockholm, Gothenburg University, University of Lund, Uppsala University, University of Helsinki, Swedish Banking Lawyers Association, Swedish Stock Exchange, Swedish Stock Market Board (November 1986)

Presentation, The Lauder Institute, The Wharton School of the University of Pennsylvania (February 7, 1986)

Baron de Hirsch Meyer Endowed Lectures, American Law Institute (ALI) Draft Restatement on Corporate Governance, University of Miami School of Law (March 4, 1983)

## SELECTED EXPERT WITNESS AND RELATED ENGAGEMENTS

> "Professor Marc Steinberg, whose résumé is attached in Appendix F [of this book], is an outstanding example of an expert qualified by his specialized knowledge of securities law and practice to offer admissible opinions in

Stenovich et al. v. Eccles et al., No. 000907870 (Salt Lake County, Utah)

United States v. Martha Stewart, (51 03 Cr. 717) (S.D.N.Y.)

In the Matter of Theodore W. Urban, Admin. Proc. File No. 3-13655 (SEC Washington D.C.)

In re White Nile Software, Inc., Civil Cause No. 08-03194-A (Dallas County Texas) (Appointment as Temporary Receiver)

**PUBLICATIONS**

**BOOKS**

1. Rethinking Securities Law (Oxford University Press) (2023) (239 pages) Ranked #1 of all Securities Law Books on Amazon – May 2021 (Selected as The "Winner" "Best Law Book of 2021", The 2021 Annual "Best Books" Awards, Sponsored by American Book Fest) (Selected as "Exemplary Legal Writing 2021" in Green Bag Almanac & Reader 2022), Chinese Translation (Shanghai People's Publishing House 2024)

2. The Federalization of Corporate Governance (Oxford University Press) (2018) (328 pages)

3. Insider Trading (Oxford University Press) (3d edition 2010) (coauthored) (1,147 pages)

4. Corporate Internal Affairs – A Corporate and Securities Law Perspective (Quorum Press) (1983) (294 pages) (Foreword by Former SEC Chairman Harold M. Williams)

5. Tender Offers – Developments and Commentaries (Quorum Press) (1985) (editor) (384 pages)

6.

16. Textbook on Securities Regulation (Matthew Bender & Co.) (2d edition 1993) (1,486 pages

26. Reference Text on Understanding Securities Law (Matthew Bender & Co.) (1989) (289 pages)

27. Reference Text on Understanding Securities Law (Matthew Bender & Co.) (2d edition 1996) (416 pages)

28. Reference Text on Understanding Securities Law (LEXIS Publishing) (3rd edition 2001) (431 pages)

29. Reference Text on Understanding Securities Law (LEXIS Publishing) (4th edition 2007) (481 pages)

30. Reference Text on Understanding Securities Law (Lexis/Nexis) (5th edition 2009) (502 pages)

31. Reference Text on Understanding Securities Law (Lexis/Nexis) (6th edition 2014) (520 pages)

32. Reference Text on Understanding Securities Law (Carolina Academic Press) (7th ed. 2018) (552 pages) (Ranked #1 of all Business Law Books on Amazon – March 2021)

33. Reference Text on Understanding Securities Law (Carolina Academic Press) (8th ed. 2023) (679 pages) (Ranked #2 of all Securities Law Books on Amazon – June 2023)

34. Insider Trading (Little Brown & Co.) (coauthored) (1996) (1,237 pages) (including 1998, 1999, 2000, 2001, 2002 Supplements)

35. Insider Trading (Practising Law Institute) (coauthored) (2d edition 2005) (two volumes) (1,940 pages) (including 2006, 2008 Supplements)

36. Corporate and Securiti

38. Lawyering and Ethics for the Business Attorney (Thomson/West) (2d edition 2007) (205 pages) and Professor's Manual

39. Lawyering and Ethics for the Business Attorney (Thomson/West) (3d edition 2011) (210 pages) and Professor's Manual

40. Lawyering and Ethics for the Business Attorney (West Academic Press) (4th edition 2016) (240 pages) and Professor's Manual

41. Lawyering and Ethics for the Business Attorney (West Academic Press) (5th edition 2020) (253 pages) and Professor's Manual

4

51. Corporate Director and Officer Liability: "Discretionaries"

21. Disclosure of Information Bearing on Management Integrity and Competency, 76 Northwestern University Law Review 555 (1981) (coauthored)

22. Application of the Business Judgment Rule and Related Judicial Principles — Reflections From a Corporate Accountability Perspective, 56 Notre Dame Lawyer 903 (1981) (Symposium Issue)

23. Aaron's Unanswered Questions, 4 Corporation Law Review 166 (1981) [column]

24. Steadman — Some Reflections, 4 Corporation Law Review 357 (1981) [column]

25. State Law Decisions After Santa Fe, 8 Securities Regulation Law Journal 79 (1981) [column]

26. The SEC's Administrative and Legislative Programs Aimed at Regulating Corporate Internal Affairs, published in Standards for Regulating Corporate Internal Affairs at 317, The Ray Garrett, Jr., Corporate and Securities Law Institute (Sponsored by Northwestern University School of Law) (1981) (coauthored)

27. The Liability of Tax Practitioners: Tax Shelters — An SEC Staff Perspective, Major Tax Planning — 1981, Chapter 21, USC Tax Institute (Matthew Bender & Co.) (coauthored)

28. The Propriety and Scope of Cumulative Remedies Under the Federal Securities Laws, 67 Cornell Law Review 557 (1982) [republished in 15 Securities Law Review 233 (1983); Corporate Counsel's Annual 373 (1983)]

29. The Interplay Between State Corporation and Federal Securities Law — Santa Fe, Singer, Burks, Maldonado, Their Progeny & Beyond, 7 Delaware Journal of Corporate Law l (1982) (coauthored)

30. The Securities and Exchange Commission's Administrative, Enforcement, and Legislative Programs and Policies — Their Influence on Corporate Internal Affairs, 58 Notre Dame Law Review 173 (1982)

42. Parallel Proceedings, 8 Corporation Law Review 335 (1985) (coauthored) [column]

43. Some Thoughts on Regulation of Tender Offers, published in Tender Offers—Developments and Commentaries at 273 (Greenwood Press) (M.

54. Attorney Conflicts of Interest in Corporate Acquisitions, 39 Hastings Law3( )113

67. Legal Opinions in Securities Transactions, 16 Journal of Corporation Law 375 (1991) (coauthored)

68. The Joint Defense Doctrine in Federal Securities Litigation, 18 Securities Regulation Law Journal 339 (1991) (coauthored)

69. Attorney Liability Under the Securities Laws, 45 Southwestern Law Journal [illegible] (1991)

70. The Inside story on Insider Trading, 27 Australian Law News No. 2, at 18 (March 19[illegible]2)

71. [illegible]

91. The Role of Inside Counsel in the 1990s: A View From Outside, 49 SMU Law Review 483 (1996)

92. Litigation Reform Act Will Have Major Impact, 24 Securities Regulation Law Journal 115 (1996)

93. The United States Enacts the Private Securities Litigation Reform Act of 1995, Journal of Business Law 299 (1996)

94. Emerging Securities Markets – A View of Internationalization from the U.S., published in Emerging Financial Markets and the Role of International Financial Organizations at 429 (Kluwer Law International) (1996)

95. Emerging Capital Markets: Proposals and Recommendations for Implementation, 30 International Lawyer 715 (1996)

96. Symposium: Securities Law After the Private Securities Litigation Reform Act—Unfinished Business, 50 SMU Law Review 9 (1996)

97. Contribution and Proportionate Liability Under the Federal Securities Laws in Multidefendant Securities Litigation After the Private Securities Litigation Reform Act of 1995, 50 SMU Law Review 337 (1996) (coauthored) (Symposium Issue)

98. Securities Arbitration: Better for Investors Than the Courts?, 62 Brooklyn Law Review 1503 (1996) (Symposium Issue)

99. The Private Securities Litigation Reform Act: Contribution and Proportionate Liability, 25 Securities Regulation Law Journal 333 (1997) (coauthored)

100. Securities Arbitration – A Decade After McMahon, 26 Securities Regulation Law Journal 117 (1998) [column]

101. Securities Arbitration in the United States, published in Yearbook of International Financial and Economic Law 1997 at 251 (Kluwer Law International) (1999)

112. Curtailing Investor Protection Under the Securities Laws: Good for the

124. Minimizing Corporate Liability Exposure When the Whistle Blows in the Post Sarbanes-Oxley Era, 30 Journal of Corporation Law 445 (2005) (coauthored)

125. Counsel Conflict Dilemmas in Mergers and Acquisitions, 47 South Texas Law Review 3 (2005)

126. Attorney Liability Under the State Securities Laws: Landscapes and

134. Blurring the Lines Between Pleading Doctrines: The Enhanced Rule 8(a)(2) Plausibility Pleading Standard Converges With the Heightened Pleading Standards Under Rule 9(b) and the PSLRA, 30 University of Texas Review of Litigation 1 (2010) (coauthored)

135. The Assault on Section 11 of the Securities Act: A Study in Judicial Activism, 63 Rutgers Law Review 1 (2010) (coauthored)

136. Attorney Conflicts of Interest – The Use of "Screening" in the Corporate/Securities Setting, 39 Securities Regulation Law Journal 191 (2011)

137. Enhanced "Blue Sky" Enforcement: A Path to Help Solve Our Public School Funding Dilemma, 50 Washburn Law Journal 563 (2011) (lead contribution in Issue commemorating 100th anniversary of Kansas "blue sky" statute)

138. Transnational Dealings – Morrison Continues to Make Waves, 46 International Lawyer 829 (2012) (coauthored)

139. Pleading Securities Fraud Claims: Only Part of the Story, 45 Loyola University (Chicago) Law Journal 603 (2014) (Symposium Issue)

140. The Emergence of a New Battleground: Liability for Secondary Market Violations in Ontario, 48 International Lawyer 17 (2014) (coauthored)

141. In Honor of Stanley Sporkin, 43 Securities Regulation Law Journal 5 (2015)

142. In Honor of Professor Alan R. Bromberg, 68 SMU Law Review xi (2015)

143. Professor Alan R. Bromberg's Rule 10b-5, 68 SMU Law Review 617 (2015)

157. Facilitating Capital Raising: The SEC's 2020 Amendments to the Exempt

10. Second Opinion for Lawyers — The 'Consultative Attorney,' New York Law Journal 1 (Jan. 3, 1983) [editorial commending article, National Law Journal 12 (Jan. 10, 1983)] (coauthored)

11. Acknowledgments from the Co-Editors-in-Chief for "The Rule of Law Papers," 43 International Lawyer 1 (2009) (coauthored)

## SELECTED CLE AND RELATED PUBLICATIONS

1. Civil Liabilities, Implied Remedies, Special Litigation Committees and Related Issues, Conference on Securities Regulation and the Capital Raising Process for the Small Issuer (Federal Bar Association 1981) (coauthored)

2. Developments in Professional Responsibilities, Conference on Securities Regulation and the Capital Raising Process for the Small Issuer (Federal Bar Association 1981) (coauthored)

3. Developments Under the Federal Securities Laws: States of Mind, Burdens of Proof, Rule 14e-3, and Recent Supreme Court Decisions and Their Progeny, Conference on Fraud and Fiduciary Duty Under the Federal Securities Laws (ALI-ABA 1981) (coauthored)

4. Proxy Litigation and Contests — An Overview of Applicable Statutes, Rules and Selected Legal Issues, Conference on Proxy Litigation and Contests (New York Law Journal Seminar-Press 1981) (coauthored)

5. Disclosure Trends Under the Securities Acts, National Institute on Securities Regulation, University of Colorado School of Law (1982)

6. The Supreme Court and Securities Law: An Update and A Look Ahead, University of California Securities Regulation Institute (1982) (coauthored)

7. Selected Developments in Securities Litigation, National Institute on Securities Regulation, University of Colorado School of Law (1983)

8. Definition of a Security, National Institute on Securities Regulation, University of Colorado School of Law (1984) (coauthored)

9. Selected Issues in Proxy Disclosure, Litigation, and Contests, Conference on Shareholder Meetings: Dealing with Management and Shareholder Proposals (Practising Law Institute 1984)

10. Developments in Proxy Disclosure, Litigation and Contests, National Institute on Securities Regulation, University of Colorado School of Law (1985)

11. Application of the Federal Securities Laws to Foreign Companies Seeking to Raise or Invest Capital in the United States, Stockholm, Sweden and Helsinki, Finland (1986) (coauthored)

12. Selected Developments in Securities Litigation, MICPEL (Maryland) Securities Law Course (1986)

13. Notes as Securities, 23rd Annual Securities Regulation Conference of the Los Angeles County Bar (1990)

14. Law Compliance Programs, Law Council of Australia, Melbourne and Perth, Australia (1991)

15. Securities Counsel in the 1990s: Are We Moving Targets?, Twenty-Fifth Annual Institute on Securities Regulation (Practising Law Institute 1993)

16. Capital and Securities Markets, International Development Law Institute, Enterprise and Investment Lawyers Course, Rome, Italy (1994)

17. Selected Federal and State Securities Litigation Developments, SMU Law Review Corporate Counsel Symposium (1994)

18. The Ramifications of Central Bank of Denver on Federal and State Securities Litigation, Twenty-Sixth Annual Institute on Securities Regulation (Practising Law Institute 1994)

19. Capital and Securities Markets in Southern Africa, International Law Association of South Africa Seminar (1995)

2. Corporate Governance From a Federal Law Perspective, Oxford University Press (OUP) Blog (March 26, 2018)

3. The Federalization of Corporate Governance, Harvard Law School Forum on Corporate Governance and Financial Regulation (June 21, 2018)

4. The SEC v. Mark Cuban, Harvard Law School Forum on Corporate Governance and

# PRESENTATIONS FOR SELECTED EDUCATIONAL AND PROFESSIONAL PROGRAMS AND SEMINARS

Lecture, U.S. Securities Law and Fiduciary Duties, The Dickson Poon School of

Lecture, Insider Trading

Speaker, University of Texas Annual Conference on Securitie5i92 re  W 12 Tf  151iC

Presentation, 100th Anniversary of the University of Peking (Beijing May 3, 1998)

Speaker, Seminar on Insider Trading and Government Enforcement Sponsored by The University of Western Australia (Perth March 5, 1998)

Symposium on Securities Arbitration: A Decade After McMahon, Brooklyn Law School (October 25, 1996)

Address Before the Buenos Aires Lawyers Association and the Bank of Boston (Buenos Aires March 20, 1996)

Address Before the Buenos Aires Stock Exchange (Buenos Aires March 18, 1996)

Address Before the Argentine Securities and Exchange Commission (Buenos Aires March 15, 1996)

Speaker, Dallas Bar Association, The Private Securities Litigation Reform Act of 1995 (Dallas Feb. 26, 1996)

Speaker, Conference on Emerging Financial Markets and International Financial Institutions, Sponsored by the European Bank for Reconstruction and Development (London May 25-26, 1995)

Speaker, Seminar on International Economic Law, Sponsored by the International Law Association of South Africa (Johannesburg March 14, 1995)

Faculty, International Lawyering in the Americas, Sponsored by SMU School of Law (Dallas Jan. 26-27, 1995)

Speaker, Twenty-Sixth Annual Institute on Securities Regulation (Practising Law Institute) (New York City Nov. 3-5, 1994)

Speaker, Securities Arbitration: Training the Litigant, Sponsored by The American Arbitration Association (Dallas April 22, 1994)

Speaker, University of Texas Annual Conference on Securities Regulation and Business Law Problems (Dallas March 1-10, 1994)

Participant for the 23rd Annual Securities Regulation Conference of the Los Angeles County Bar (Oct. 24, 1990)

Course on Securities Regulation, The Southwestern Legal Foundation (Dallas Dec. 12-13, 1989)

Lecturer for FDIC Training Conference (San Antonio Oct. 26, 1989)

Course on Securities Regulation, The Southwestern Legal Foundation (Dallas Dec. 6-7, 1988)

Speaker, the Aresty Institute of Executive Education, The Wharton School of the University of Pennsylvania (April 28, 1988)

Symposium on Tender Offer Regulation, Wake Forest University School of Law (April 1, 1988)

Addresses Before the Swedish and Finnish Banking Lawyers Associations (Stockholm, Nov. 12, 1986 and Helsinki, Nov. 20, 1986)

Speaker, Shareholder Meetings: Dealing with Management and Shareholder Proposals (PLI & American Society of Corporate Secretaries) (New York City Sept. 20-21, 1984)

Speaker, National Institute on Securities Regulation (Boulder, Colorado May 30-31, June 1, 1984)

Speaker, National Institute on Securities Regulation (Boulder, Colorado - June 1 1983)

Speaker, National Institute on Securities Regulation (Boulder, Colorado - June 1 1982)

Speaker, Securities Regulation and the Capital Raising Process for the Small Issuer (FBA Midyear Meeting) (Dallas March 25-26, 1982) (Conference Co-Chairman)

Speaker, Fraud and Fiduciary Duty Under the Federal Securities Laws (PLI - April (Washington April 30, 1981)

**REVIEWS OF SCHOLARSHIP**

— The Honorable Stanley Sporkin (Former U.S. District Court Judge, SEC Enforcement Director, General Counsel of the CIA) "Professor Steinberg's book [*The Federalization of Corporate Governance*] is an extremely important development of our securities laws. I put this on par with Professor Loss's Treatise on the Securities Laws. It demonstrates the emergence of Professor Steinberg as the leading scholar in the field…."

— Edward D. Herlihy (Partner and Co-Chair of the Executive Committee, Wachtell, Lipton, Rosen & Katz) "Professor Marc Steinberg is the preeminent securities law authority of our generation…."

— Arthur J.Goldberg (former Justice, U.S. Supreme Court) "I wish to thank you for your invaluable assistance in the preparation of my separate statement in connection with the Federal Advisory Committee Report on Tender Offers."

— Harvey L. Pitt (Former Chairman, Securities and Exchange Commission) "For anyone who cares about strengthening capitalism, improving the efficiency of our capital markets, and protecting investors, Professor Marc Steinberg's creative and thought-provoking book *Rethinking Securities Law* is a must read."

— Harold M. Williams (former Chairman, Securities and Exchange Commission) In the Foreword to *Corporate Internal Affairs A Corporate and Securities Law Perspective*, stating: "Although I by no means agree with all of the positions taken, Professor Steinberg's insightful analysis merits the attention of the corporate community and the bar. In short, this is a worthwhile book and I highly recommend it."

— E. Norman Veasey (former Chief Justice, Delaware Supreme Court) *The Federalization of Corporate Governance* "is a very valuable contribution to the literature as a meticulously researched and thoughtfully organized scholarly work."

University of Maryland Representative, Proxy Voting Committee, 1985-1986
Law School Representative, Middle States' Self Study Task Force on Research, 1985
Member, Appointments Committee, 1989
Member, Curriculum Committee, 1987-1988
Chairman, Research Committee, 1985-1986
Member, Teaching and Student Evaluation Committee, 1984-1985
Member, Tenure and Promotions Committee, 1988-1989
Resident Agent, Maryland Softball Team, 1984 Season
Member, Research Committee, 1983-1984
Faculty Secretary, 1983-1984

**SELECTED SMU ACTIVITIES (1989 – Present)**

Senior Associate Dean for Academics, 1998-2003
Senior Associate Dean for Research, 2008-2012
Director, SMU Corporate Counsel Externship Program, 2013-Present
Faculty Advisor, Business Law Society, 2001-Present